USSN 09/833,711 Art Unit: 1762

Amendments to Specification

Please amend the title to read:

-Method of depositing optical quality silica films by PECVD while controlling gas pressure-

Please replace the paragraph commencing at line 26, page 8, with a new paragraph as follows:

- The High-high, temperature thermal treatments also have their own shortcomings. Optical quality alike a films typically require a post-deposition thermal treatment at a high temperature as high as 1850°C in order to eliminate residual optical absorption peaks in the 1.30 to 1.55 µm optical region. --

Please replace the paragraph commencing at line 12, page 10, with a new paragraph as follows:

Typically the deposition is carried out with SiH₄ as a raw material gas, N₂O as an oxidation gas is, and N₂ as a carrier gas, although other materials can be used.--

Please replace the paragraph commencing at line 25, page 10, with a new paragraph as follows:

-- The novel PECVD approach in accordance with the invention can provide undoped (no B

and/or P) silica films from the oxidation of silane, SiH₆, using nirrous oxide, N_2O , it-will-then focus-on-the-effect-of-additional nitrogen, N_0 , reactant-gas—

Please cancel the paragraph commencing at line 1, page 11, with as follows:

-- This discussion will not consider means of adding ammonics NH₂, fluorine, F. phosphotus, P; boron, B₂ or other compounds or elements as a way to control refractive indexes.

Please replace the paragraph commencing at line 14, page 14, with a new paragraph as follows:

— Figure 42. Lists the possible chemical reactions (i.e. thermal decomposition reactions) that may result from the expoure of the thinty-fev (SJ) potential as deposited compounds to hitcogns at very high temperature. Again, the thermal decomposition reactions (groducing a potential post-treatment compound after the high temperature thermal treatment) which is different then the potential as s-deposited our-pounds before high temperature thermal treatment) have to preserve the need to accommodate the chemical bonds of their constituting atoms. These vertous reactions present a very clear overview of the limitations of these high temperature thermal treatments.—Please replace the puragraph commonship is a follower.

Art Unit: 1762 - Figure 5-4 lists some FTIR fundamental infrared absorption peaks and their corresponding higher harmonics peaks associated with the six (6) residual potential post-treatment compounds that result from thermal decomposition during a high temperature thermal treatment of these silica films in a nitrogen ambient. It is clear from Figure 8-4 that the higher harmonics of the absorption peaks corresponding to these six (6) residual potential post-treatment compounds contribute to the optical absorption in the 1.30 to 1.55 µm optical bands. The six peaks are: the second vibration harmonics of the HO-H oscillators in trapped water vapour in the micro-pores of the silica films (3550 to 3750 cm⁻¹), which increase the optical absorption near 1.333 to 1.408 um: the second vibration harmonics of the SiO-H oscillators in the silica films (3470 to 3550 cm 1), which increase the optical absorption near 1.408 to 1.441 µm; the second vibration harmonics of the Si:N-H oscillators in the silica films (3300 to 3460 cm⁻¹), which increase the ootical absorption near 1.445 to 1.515 µm; the second vibration harmonics of the SiN-H oscillators in the silica films (3380 to 3460 cm⁻¹), which increase the optical absorption near 1,445 to 1,479 µm; the third vibration harmonics of the Si-H oscillators in the silica films (2210 to 2310 cm⁻¹), which increase the optical absorption near 1.443 to 1.505 um; the fourth vibration harmonics of the Si=O oscillators in the silica films (1800 to 1950 cm⁻¹), which increase the optical absorption near 1.282 to 1.389 um; and the fifth vibration harmonics of the N=N oscillators in the silica films (1530 to 1580 cm⁻¹), which increase the optical absorption near 1.266 to 1.307 um. --

Please replace the paragraph commencing at line 25, page 18, with a new paragraph as follows:

- The lack of incorporation of oxygen atoms into the deposition reaction produces, at a microscopic scale, a mixture of the thirty-five (15) undesimble 5i-O₂-H₂-N₃ potential as-deposited compounds (listed in Figure 22) difficult to eliminate with temperature treatments. Please replace the paragraph commencing at line 11, page 19, with a new paragraph as follows:
- Figure 66-2a shows the basic FTDR spectra of typically deposited PECVD silica films before and after a three hours long high temperature thermal treatment in a nitrogen ambient at a temperature of either 600, 700, 800, 900, 1000 or 1100°C. It is clear that the higher the thermal decomposition temperature of the high temperature thermal treatment in a nitrogen ambient, the better the thermal decomposition of silica films, the better the thermal decomposition of silica films, the better the demand and ammonia, NH-, (i.e. as per the chemical reactions of Figure 43) and the better the definition of the films of the films

USSN 09/833,711 Art Unit: 1762

the FTIR spectra of the treated silica films (i.e. the better the four basic optical absorption peaks):

Please replace the paragraph commencing at line 12, page 20, with a new paragraph as follows: -- Figure 7a-6a shows the in-depth FTIR spectra from 810 to 1000 cm⁻¹ of typically deposited PECVD silica films before and after a three hours long high temperature thermal treatment in a nitrogen ambient at a temperature of either 600, 700, 800, 900, 1000 or 1100°C. This region of the FTIR spectra should show a net separation between the Si-O-Si "in-phase-stretching mode" absorption peak (1080 cm⁻¹) and the Si-O-Si "bending mode" absorption peak (810 cm⁻¹) and should show a deep valley between 850 and 1000 cm1, it is clear that the higher the thermal decomposition temperature of the high temperature thermal treatment in a nitrogen ambient, the better the separation and the deeper the valley. The reduction and gradual elimination of the Si-OH oscillators, centered at \$85 cm⁻¹ (i.e. of some configurations of the SiOH₂ residual potential post-treatment compounds) using various chemical reactions of Figure 4-3 is demonstrated to occur following the 600°C thermal treatment in a nitrogen ambient. A residual peak is observed at 950 cm⁻¹, indicating the presence of residual oscillators as a result of the various thermal decomposition reactions of Figure 43. These residual oscillators are associated to the Si-ON oscillators of two (2) of the six (6) residual potential post-treatment compounds; SiONH and SiONs. It is clear that the higher the temperature of the high temperature thermal treatment from 600 to 1100°C in a nitrogen ambient, the more nitrogen incorporation and the more evident the Si-ON oscillators (i.e. some configurations of the residual potential: SIONH and/or SiON2 posttreatment compounds). --

Please replace the passgraph commencing at line 5, page 21, with a new passgraph as follows: Figure 8a.72, aboves the in-depth FTIR species from 1500 to 1000 cm² of typically deposited PECVD silics films before and after a three hours long high temperature thermal treatment in a nitrogen melionit at a temperature of either 600, 700, 800, 900, 100, 100 cm². This region of interest focuses on the NN oxidiators, conterred at 1552 cm², in the valence post restaurant compounds described by the various chemical reactions of Figure 42, it is apparent that the higher the thermal decomposition temperature of the high temperature thermal treatment in a nitrogen amblem, the better the climination of NN oxidiators (which fifth harmonies could cause an optical absorption between 2.50 and 1307 may with a complete elimination of HSSN 09/833 711

Art Unit: 1762

residual N=N oscillators (i.e. some configurations of the residual potential SiON₂ post-treatment compounds) after a thermal treatment beyond 900°C in a nitrogen ambient. --

Please replace the paragraph commencing at line 17, page 21, with a new paragraph as Gillows:

— Figure 68-88 shows the in-depth FTIR spectra from 1700 to 2200 cm⁻¹ of typically deposited PECVO silical fine before and after a force hours long high temperature thermal treatment in a nirrogen ambient at a temperature of either 600, 700, 800, 900, 1000 or 1100°C. This region of interest focuses on the Sir-O oscillators, centered at 1875 cm⁻¹ of four (4) of the six (6) residual potential post-terment compounds: SiO₂, SiOHs, SiONs and SiONs. Another unknown absorption peak is also observed centered at 2010 cm⁻¹ but since this unknown oscillator does not have a higher thronolose which could cause optical absorption in the 1.30 or 1.55 µm optical bands, the aseach of its identity was not prioritized, it is clear that the higher the thermal decomposition temperature of the high temperature thermal treatment from 600 to 1100°C in a mirrogen ambient, he more evident the Si-O oscillators (which fourth harmonics could cause an optical absorption between 1.222 and 1.359 µm) and the more evident the unknown oscillators which have no higher absorption harmonics between 1.00 at 1.550 µm.

Please replace the paragraph commencing at lim 3, page 22, with a new paragraph as follows:

— Figure 16492, above the in-depth Fifty sporters from 2000 2000 cm⁻¹ of Typically deposited PECVD sitios films before and after a three-hours long high temperature thermal renature in a nitrogen ambient at a temperature of aither 600, 700, 800, 900, 1000 or 1100°C. This region of interest focuses on 65-F1 docilitations, centered at 2260 cm⁻¹ of three (3) of fee six (o) realizad potential post-treatment compromises SNRT, SIGH2, and SIGNR1. It is clear that the higher the thermal decomposition in experature of the high temperature themsal treatment in a nitrogen ambient, the better the elimination of Si-H oscillators (which third harmonics could cause an optical adsorption between 1A/3 and 1-100 gain unit has complete elimination of residual Si-H oscillators (i.e. some configurations of the residual potential SNRT, SIGH2, and SIGNR1 post-treatment compounds) after a thermal freatment between the 200 in a nitrogen

Please replace the paragraph commencing at line 15, page 22, with a new paragraph as follows:

— Figure 41a: 10a shows the in-depth FTIR spectra from 3200 to 3900 cm⁻¹ of typically deposited
PECVD silica films before and after a three hours long high temperature thermal treatment in a

nitrogen ambient at a temperature of either 600, 700, 800, 900, 1000 or 1100°C. This region of interest focuses on the Si:N-H oscillators, centered at 3380 cm⁻¹, the SiN-H oscillators, centered at 3420 cm⁻¹, the SiO-H oscillators, centered at 3510 cm⁻¹ and the HO-H oscillators, centered at 3650 cm⁻¹ of three (3) of the six (6) residual potential post-treatment compounds: SfNH, SiOH₂ and SiONH. It is clear that the higher the thermal decomposition temperature of the high temperature thermal treatment from 600 to 1100°C in a nitrogen ambient, the better the elimination of: -

Please replace the paragraph commencing at line 9, page 23, with a new paragraph as follows:

- The upper-Figures 6a-5a to Figure 11a-10a show that it is very difficult to completely eliminate the residual oscillators of the various undesirable Si-Ox-Hy-Nz potential post-treatment compounds and achieve optical quality silica films from typically deposited PECVD silica films using thermal treatments at temperature between 600 and 1100°C in a dry (nitrogen) ambient. --

Please replace the paragraph commencing at line 3, page 24, with a new paragraph as follows:

-- Figure 6b-5b shows the basic FTIR spectra of silica films obtained with the improved PECVD deposition technique after a three hours long high temperature thermal treatment in a nitrogen ambient at a low temperature of 800°C. It is clear that the control of the deposition pressure of this improved PECVD deposition technique has a major effect on the FTIR spectra of the treated silica films (i.e. the better the four basic ontical absorption peaks): --

Please replace the paragraph commencing at line 14, page 14, with a new paragraph as follows:

-- An in-depth examination of some infrared regions of the FTIR spectra of Figure 6b-5b with the help of the FTIR regions of the table of Figure 5-4 could help verifying the gradual elimination of the various Si-Ox-He-Ng potential as-deposited compounds and verify the gradual achievement of pure SiO2 with minimum optical absorption in the 1,30 to 1.55 µm optical bands as the pressure is changed around this optimum deposition pressure of 2.40 Torr. --

Please replace the paragraph commencing at line 9, page 25, with a new paragraph as follows:

-- Figure 7b-6b shows the in-depth FTIR spectra from 810 to 1000 cm⁻¹ of silica films obtained with the improved PECVD deposition technique after a three hours long high temperature thermal treatment in a nitrogen ambient at a low temperature of 800°C. This region of the FTIR spectra should show a net separation between the Si-O-Si "in-phase-stretching mode" absorption peak (1080 cm²) and the St-O-Si "bending mode" absorption peak (810 cm²) and should show a deep valley between 850 and 1000 cm². It is clearly observed that there is a gradual elimination of the residual SiOH; crisidate positive of the residual SiOH; residual post-treatment compound (Figure 4) as the deposition pressure is increased from 2.00 Torr up to the optimum pressure of 2.40 Torr and that he lelimination gradually gat worse as the pressure is further increased from the optimum 2.40 Torr up to 2.60 Torr. Similarly, it is clearly observed that these is a gradual elimination of the Si-ON oscillators (centered at 905 cm²) of the residual SiONsi and/or SiONs post-treatment compounds (Figure 4)-as the deposition pressure is increased from 2.00 Torr up to the optimum 2.40 Torr up of 2.00 Torr. The optimum separation and deep valley observed at 2.40 Torr is an indication that the silical films resulting from this optimum deposition pressure or and the production of the silical films resulting from this optimum deposition pressure or composed of high quality SiON; material.—Please replace the paragraph commenting at line 1, page 26, with a new paragraph as follows:

Please replace the paragraph commencing at line 11, page 26, with a new paragraph as follows:

— Figure 96th above the in-depth FTRs spectra from 1700 to 2200 cm⁻¹ of silica films obtained with the improved FECV deposition scholique after a three boars long high imperature thermal treatment in a nitrogen ambient at a low temperature of 800°C. This region focuses on the SiP-O asolitators (centered at 210 cm⁻¹) and on the unknown oscillators (centered at 2010 cm⁻¹) of the various residual post-testiment compounds described by Figure 4.1 second that even at the opinium deposition pressure of 2.40 Tor., it is not possible to eliminate the SiP-O oscillators (which fourth harmonics could cause an optical above;into sherce 1.222 and 1.339 um) and the

Art Unit: 1762

unknown oscillators (which does not have a higher harmonics which could cause optical absorption in the 1.30 to 1.55 µm optical bands) at any of the deposition pressures. This limitation is not that important since only the fourth harmonics of the Si=O oscillators which can absorb in the 1.30 to 1.55 µm optical bands. —

Please replace the paragraph commencing at line 24, page 26, with a new paragraph as follows:

— Figure 449-89, shows the in-depth FTR spectra from 2200 to 2400 or "of stillco films obtained with the improved PECVD deposition technique after a three house long high temperature thermal treatment in a througen ambient at a low temperature of 800°C. This region focuses on the 51-H oscillators (centered at 2250 cm") of the various residual post-treatment compounds of Figure 4. It is clear that the S1H oscillators (which this harmonic could cause an optical absorption between 1.443 and 1.508 µm) are completely eliminated for all deposition pressures. —

Please replace the paragraph commencing at line 3, page 27, with a new paragraph as follows:

— Figure 141-105, shows the in-depot FITR spectar from 2200 to 3000 end 7 of sizes films obtained with the improved PECVD deposition technique after a three hours long high temperature thermal treatment in a nitrogen ambient at a low temperature of 800°C. This region focuses on the SiX-Ho calilators (centered at 3300 cm²), on the SiX-Ho calilators (centered at 3300 cm²), on the SiX-Ho calilators (centered at 3300 cm²), on the SiX-Ho calilators (centered at 3500 cm²) and on the HO-Ho calilators (centered at 3500 cm²), and the SiX-Ho calilators (centered at 3500 cm²) and on the HO-Ho calilators (centered at 3500 cm²).

Please replace the paragraph commencing at line 6, page 28, with a new paragraph as follows:

— A systematic comparison between (Figures 6=4g,and 4±9g), (Figures 6=6g and 6±9g), (Figures 8=6g and 40g), (Figures 8=6g and 40g) and 40g) above the spectacular benefits of the improved FECVO deposition reclanifies which results in a substantially total elimination of the various undesirable 8:10-H, Np, potential operations of the various of the special and the strategies of the special and the various of the special and the particular of the residual SiONH post-reatment compounds which can still be detected by the residual SiONH post-reatment compounds which can still be detected by the residual SiN-H oscillature (centered at 330 and and which peccade harmonics

Art Unit: 1762

causes an optical absorption between 1.445 and 1.515 µm) of Figure 14a/sfg 1100°C curve abovn in Figure 10a. By contrast, it is clear that these residual SixN-H oscillators are completely elliminated from Figure 14b/sfg 2.40 Torr curve of Figure 10b, even after a much lower temperature (800°C) thermal treatment in the same nitrogen ambient.

MARKS & CLERK

Please replace the paragraph commencing at line 27, page 28, with a new paragraph as follows:

—The comparison of the various PECVD approaches summarised in Figure-Table 1 shows that the novel PECVD approach has a number of advantages: it does not require the use of B andor PF, id does not the TeXPE (S); if does not use OS; it does not use CF; it does not use SIH, NyO and NIH gas mixtures; it does not use SIH, NyO and Ar gas mixtures; it does not use SIH, NyO and Ar gas mixtures; it does not use SIH, NyO and Ar gas mixtures; it does not never does not never the NyO gas mixtures; it does not never different way from the cited prior art (Imnto K., 1993) which only reports the control of the mass flow rates of the three gases as a way to control the transparency and refractive index of the silica film.—

Please replace the paragraph commencing at line 7, page 29, with a new paragraph as follows:

—The described technique uses an independent control of the SiHe, N/Q and N₂ gases as well as of the total deposition pressure via an atomatic control of the pumping speed of the vacuum pump. As meatiened before the flundamental principles of classical thermodynamics predict that the equilibrium constants of the various chemical reactions of Figure 2-2 will be affected by the total deposition pressure and will least thin an improved allimination of some of thirty-five (53) Si-Q-14-N₂, potential as-deposited compounds due to an improved climination of N₃, O₄, 18/N₃, 18/Q, and N₃ gaseous compounds the mass the climinated from the micro-press of the growing silled films up to their surface and from their surface through the gaseous boundary layer present near their surface. This effect is due to the fact that many of the chemical reactions of Figure 3-2, are associated with a modification of the number of gaseous product compounds (i.e. the number of gaseous product compounds for a manble of gaseous product compounds for a describation compound molecules:

 $SiH_4(g) + 2N_2O(g) \rightarrow The \ various \ products \ of \ Figure 32 --$

Please replace the paragraph commencing at line 8, page 30, with a new paragraph as follows:

USSN 09/833,711

Art Unit: 1762

- The spectacular effect of the total deposition pressure was demonstrated by the FTIR spectra of. Figure 5b4b, Figure 6b5b, Figure 7b6h, Figure 8b7b, Figure 9b 8b and Figure 40b-9b which compare the results of silica films deposited at the following fixed gas flows: --

Please replace the paragraph commencing at line 26, page 30, with a new paragraph as follows:

— The spectantial effect of this fourth independent variable, the total deposition pressure, on the initiation of the various undearined his Col.-Hy, Poptendial post-treatment compounds after a low temperature (200°C) thermal treatment in a nitrogen ambient is clearly demonstrated by comparing (Figures 6-6-2, and 6-6-2), (Figures 6-6-2), (Figures 6-6-2, and 6-6-2)

Please replace the paragraph commencing at line 13, page 31, with a new paragraph as follows:

— Figure 3-1_Li ummarises the spectaoular effect of this fourth independent variable, the total optioning neutron, on the integrand are under the 3350 on IF IRR, peak of the SiN-H oscillators of PECVD allica films deposited at a fixed SHL gas flow of 0.20 and liter/min, at a fixed SHQ gas flow of 6.00 and liter/min and at a fixed Ng gas flow of 5.15 and liter/min and followings a thermal treatment in a initional marble of the SHL gas flow of 3.15 and liter/min and followings a thermal treatment in a initional marble of the SHL gas flow of 3.15 and liter/min and followings a thermal treatment in a initional marble of the SHL gas flow of 3.15 and liter/min and followings a thermal treatment in a initional marble of the SHL gas flow of 5.15 and 1.55 and 1.55

Please replace the paragraph commencing at line 19, page 31, with a new paragraph as follows:

— The elimination of the residual SiN-H oscillators at lower temperature is not the only benefit of the technique described according to the invention. Figure 4-12_shows the effect of the total opposition pressure on the 1.55 µm refractive index of FECVD silica films deposited at a fixed SiH, gas flow of 0.20 and liter/min, at a fixed N-0 gas flow of 6.00 and liter/min and at a fixed N-10 gas flow of 6.00 and liter/min and at a fixed N-10 gas flow of 6.10 and liter/min and at a fixed N-10 gas flow of 6.15 and liter/min and following a thermal treatment in a nitrogen ambient at 800°C. It is asked nicer the introduction of the fourth index-needer variable, the total denoution

USSN 09/833,731 Art Unit: 1762

pressure, is critical for the development of optimized optical silica films. The traffactive index at the operation wavelength of 1.55 µm is certainly one of the most important film characteristics. After Figure 3-12 clearly indicates that the control of this parameter is of prime importance for the repeable architecement of high quality optical silica films. At this point it should be noted that typical vacuum pumping systems used in PECVD equipment (i.e. rotary near mechanical pumps, notts blowers, turbo-molecular pumps or others) saffer from many sources of pumping speed variation over time (variation of the AC electrical power source, variation of the pumping conductance due to accumulation of residues in the protection scrubber or pumping lines are) and its than expected that a PECVD deposition condition involving a fixed set of gas flow parameters will surfer from a non-repeable livy of the deserved film characteristics.

Please replace the paragraph commencing at line 9, page 32, with a new paragraph as follows:

- Figure 4-13, summarises the effect of the N₂O mass flow rate on the integrated area under the 3300 cm - FITER seek of the SixN-N collature or FEVCO 9416 at Time deposited as a fixed SIX, gas flow of 0.20 and liter/min, at a fixed NIX gas flow of 0.20 and liter/min, at a fixed NIX gas flow of 0.20 m of the 10 m of 1.20 m

Please replace the paragraph commencing at line 23, page 32 with a new paragraph as follows:

— Figure 45-14 shows the effect of the Ny0 gas flow on the L55 pm refractive index of PECVD sittle films deposited at a fixed SiH, gas flow of 0.20 and liter/min, at a fixed total deposition pressure of 2.60 Tors and following a thermal treatment in a nitrogen ambient at 800°C. It is again very clear that once the local optimum operation point is found in the five dimensions space (four independent variables and one output measurement), there might be no more relationship selevem the measured film characteristics and the ratio of

USSN 09/833,711

Art Unit: 1762

SiH₂-to-N₂O gas flow ratio. Again, since the refractive index at the operation wavelength of 1.55 µm is certainly one of the most important film characteristic of optical silite waveguides_white Figure 46-1<u>2</u> clearly indicates that the SiH₂-to-N₂O gas flow ratio is not a critical factor in the definition of the optical properties of silien films.